



**DETERMINATION OF PROJECT'S  
SWPPP TIER LEVEL AND  
CONSTRUCTION THREAT LEVEL  
E-32**

**Development Services**  
**Land Development Engineering**  
1635 Faraday Avenue  
442-339-2750  
www.carlsbadca.gov

I'm applying for: ☐ Grading Permit ☐ Building Permit ☐ Right-of-way permit ☐ Other

Project Name: \_\_\_\_\_ Project ID: \_\_\_\_\_

Address: \_\_\_\_\_ APN: \_\_\_\_\_ Disturbed Area: \_\_\_\_\_ Ac

Section 1: Determination of Project's SWPPP Tier Level (Check applicable criteria and check the corresponding SWPPP Tier Level, then go to section 2)	SWPPP Tier Level
<p><u>Exempt – No Threat Project Assessment Criteria</u></p> <p>My project is in a category of permit types exempt from City Construction SWPPP requirements. Provided no significant grading proposed, pursuant to Table 1, section 3.2.2 of Storm Water Standards, the following permits are exempt from SWPPP requirements:</p> <p><input type="checkbox"/> Electrical <input type="checkbox"/> Patio <input type="checkbox"/> Mobile Home <input type="checkbox"/> Plumbing <input type="checkbox"/> Spa (Factory-Made) <input type="checkbox"/> Fire Sprinkler <input type="checkbox"/> Mechanical <input type="checkbox"/> Re-Roofing <input type="checkbox"/> Sign <input type="checkbox"/> Roof-Mounted Solar Array</p>	<input type="checkbox"/> Exempt
<p><u>Tier 3 – Significant Threat Assessment Criteria</u> – (See Construction General Permit (CGP) Section I.B)*</p> <p><input type="checkbox"/> My project includes construction or demolition activity that results in a land disturbance of equal to or greater than one acre including but not limited to clearing, grading, grubbing or excavation; or,</p> <p><input type="checkbox"/> My project includes construction activity that results in land disturbance of less than one acre but the construction activity is part of a larger common plan of development or the sale of one or more acres of disturbed land surface; or,</p> <p><input type="checkbox"/> My Project is associated with construction activity related to residential, commercial, or industrial development on lands currently used for agriculture; or</p> <p><input type="checkbox"/> My project is associated with construction activity associated with Linear Underground/Overhead Projects (LUP) including but not limited to those activities necessary for installation of underground and overhead linear facilities (e.g. conduits, substructures, pipelines, towers, poles, cables, wire, towers, poles, cables, wires, connectors, switching, regulating and transforming equipment and associated ancillary facilities) and include but not limited to underground utility mark out, potholing, concrete and asphalt cutting and removal, trenching, excavation, boring and drilling, access road, tower footings/foundation, pavement repair or replacement, stockpile/borrow locations.</p> <p><input type="checkbox"/> Other per CGP _____</p>	<input type="checkbox"/> Tier 3
<p><u>Tier 2 – Moderate Threat Assessment Criteria:</u> My project does not meet any of the Significant Threat Assessment Criteria described above and meets one or more of the following criteria:</p> <p><input type="checkbox"/> Project requires a grading plan pursuant to the Carlsbad Grading Ordinance (Chapter 15.16 of the Carlsbad Municipal Code); or,</p> <p><input type="checkbox"/> Project will result in 2,500 sq. ft. or more of soils disturbance including any associated construction staging, stockpiling, pavement removal, equipment storage, refueling and maintenance areas and project meets one or more of the additional following criteria:</p> <ul style="list-style-type: none"><li>• located within 200 ft. of an environmentally sensitive area or the Pacific Ocean; and/or,</li><li>• disturbed area is located on a slope with a grade at or exceeding 5 horizontal to 1 vertical; and/or</li><li>• disturbed area is located along or within 30 ft. of a storm drain inlet, an open drainage channel or watercourse; and/or</li><li>• construction will be initiated during the rainy season or will extend into the rainy season (Oct. 1 – Apr. 30)</li></ul>	<input type="checkbox"/> Tier 2
<p><u>Tier 1 – Low Threat Assessment Criteria</u></p> <p><input type="checkbox"/> My project does not meet any of the Significant or Moderate Threat criteria above, is not an exempt permit type per above and the project meets one or more of the following criteria:</p> <ul style="list-style-type: none"><li>• results in some soil disturbance; and/or</li><li>• includes outdoor construction activities (such as roof framing, saw cutting, equipment washing, material stockpiling, vehicle fueling, waste stockpiling)</li></ul>	<input type="checkbox"/> Tier 1

\* Items listed are excerpt from CGP. CGP governs criteria for triggers for Tier 3 SWPPP. Developer/owner shall confirm coverage under the current CGP and any amendments, revisions and reissuance thereof.

SWPPP Tier Level	Section 2: Determination of Project's Construction Threat Level (Check applicable criteria under the Tier Level as determined in section 1, check the corresponding Construction Threat Level, then complete the emergency contact and signature block below)	Construction Threat Level
Exempt	- Not Applicable -	Exempt
Tier 3	<u>Tier 3 – High Construction Threat Assessment Criteria: My Project meets one or more of the following:</u> <input type="checkbox"/> Project site is 50 acres or more and grading will occur during the rainy season <input type="checkbox"/> Project site is located within the Buena Vista or Agua Hedionda Lagoon watershed, inside or within 200 feet of an environmentally sensitive area (ESA) or discharges directly to an ESA <input type="checkbox"/> Soil at site is moderately to highly erosive (defined as having a predominance of soils with USDA-NRCS Erosion factors $k_f$ greater than or equal to 0.4) <input type="checkbox"/> Site slope is 5 to 1 or steeper <input type="checkbox"/> Construction is initiated during the rainy season or will extend into the rainy season (Oct. 1 – April 30) <input type="checkbox"/> Owner/contractor received a Storm Water Notice of Violation within past two years	<input type="checkbox"/> High
	<u>Tier 3 – Medium Construction Threat Assessment Criteria</u> <input type="checkbox"/> All projects not meeting Tier 3 High Construction Threat Assessment Criteria	<input type="checkbox"/> Medium
Tier 2	<u>Tier 2 – High Construction Threat Assessment Criteria: My Project meets one or more of the following:</u> <input type="checkbox"/> Project is located within the Buena Vista or Agua Hedionda Lagoon watershed, inside or within 200 feet of an environmentally sensitive area (ESA) or discharges directly to an ESA <input type="checkbox"/> Soil at site is moderately to highly erosive (defined as having a predominance of soils with USDA-NRCS Erosion factors $k_f$ greater than or equal to 0.4) <input type="checkbox"/> Site slope is 5 to 1 or steeper <input type="checkbox"/> Construction is initiated during the rainy season or will extend into the rainy season (Oct. 1 – Apr. 30) <input type="checkbox"/> Owner/contractor received a Storm Water Notice of Violation within past two years <input type="checkbox"/> Site results in 10,000 sq. ft. or more of soil disturbance	<input type="checkbox"/> High
	<u>Tier 2 – Medium Construction Threat Assessment Criteria</u> <input type="checkbox"/> My project does not meet Tier 2 High Threat Assessment Criteria listed above	<input type="checkbox"/> Medium
Tier 1	<u>Tier 1 – Medium Construction Threat Assessment Criteria: My Project meets one or more of the following:</u> <input type="checkbox"/> Owner/contractor received a Storm Water Notice of Violation within past two years <input type="checkbox"/> Site results in 500 sq. ft. or more of soil disturbance <input type="checkbox"/> Construction will be initiated during the rainy season or will extend into the rainy season (Oct.1 – April 30)	<input type="checkbox"/> Medium
	<u>Tier 1 – Low Construction Threat Assessment Criteria</u> <input type="checkbox"/> My project does not meet Tier 1 Medium Threat Assessment Criteria listed above	<input type="checkbox"/> Low

I certify to the best of my knowledge that the above statements are true and correct. I will prepare and submit an appropriate tier level SWPPP as determined above prepared in accordance with the City SWPPP Manual. I understand and acknowledge that I must adhere to and comply with the storm water best management practices pursuant to Title 15 of the Carlsbad Municipal Code and to City Standards at all times during construction activities for the permit type(s) checked above. The City Engineer/Building Official may authorize minor variances from the Construction Threat Assessment Criteria in special circumstances where it can be shown that a lesser or higher SWPPP Tier Level is warranted.

Emergency Contact Name:	Telephone No:
Owner/Owner's Authorized Agent Name:	Title:
Owner/Owner's Authorized Agent Signature:	Date:

**FOR CITY USE ONLY**

City Concurrence:	Yes	No
By:		
Date:		
DWG #/CB#:		